FSU-UFF 2022-2023 UFF-FSU Proposal June 22, 2022

1 Article 19 2 CONFLICT OF INTEREST/OUTSIDE ACTIVITY 3 19.1 Policy. 4 (a) A faculty member is bound to observe, in all official acts, the highest standards of ethics 5 consistent with the State of Florida's Ceode of ethics Ethics for Public Officers and Employees 6 of the State of Florida (Chapter 112, Part III, Florida Statutes), the advisory opinions rendered 7 with respect thereto, and University rulespolicies and regulations. 8 (b) Nothing in this Article is intended to discourage a faculty member from engaging in 9 outside activity in order to increase the faculty member's professional reputation, service to the 10 community, or income, subject to the conditions stated herein. Nothing herein shall abridge faculty members' rights to academic freedom and freedom of expression guaranteed in Article 5. 11 12 19.2 Definitions. 13 (a) Inside Activity shall mean any activity a faculty member does as part of their 14 assigned research, clinical, teaching, or service responsibilities, including University activities that form the basis for supervisory assessment of their University job performance. 15 16 17 (b) Institutional Expertise shall mean a faculty member's specialization in teaching, research, 18 clinical, or creative activity or clinical at the University expertise in their University discipline. 19 (c) University discipline shall mean the field of expertise for which is the basis of the faculty 20 member's employment. This generally means as a grouping of courses or research areas that share common or vocational preparation which are typically defined by a degree or degrees. 21 22 23 (ca) "Outside Activity" refers to any shall mean any private practice, private consulting, 24 additional teaching or research, or other activity_a faculty member does for themselves, an 25 organization, or an individual, other than inside activity that is related to a faculty member's institutional expertise., compensated or uncompensated, which is not part of the faculty 26 27 member's assigned duties and for which the University has provided no compensation. 28 (c) "Outside Activity" refers to any compensated or uncompensated activity (other than 29 Inside Activity) that is related to a faculty member's institutional expertise. (d) (d) "Reportable Outside Activity" shall mean any financial interest or Outside 30 31 Activity that is required to be disclosed to the University for the purpose of determining a 32 cConflict of interest. A listExamples of examples of regularly reportable and non-reportable 33 activities is are found in Appendix K. 34 "Conflict of Interest" shall means a situation in which regard for a private interest (be) leads to disregard of a public duty or interest.,. including any Outside Activity that creates a 35 conflict of commitment by interfering with the full performance of the faculty member's 36 Renisha Gibbs Michael Mattimore Irene Padavic Scott Hannahs

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Co-Chief Negotiator

UFF – FSU Chapter

Co-Chief Negotiator

FSU - BOT

Date

Co-Chief Negotiator

FSU - BOT

Date

Date

Co-Chief Negotiator

UFF - FSU Chapter

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responsibilities or obligations.

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Renisha Gibbs

Date

Co-Chief Negotiator FSU - BOT

Michael Mattimore Co-Chief Negotiator FSU - BOT

Irene Padavic Co-Chief Negotiator UFF – FSU Chapter

Scott Hannahs Co-Chief Negotiator UFF – FSU Chapter

Date

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(1) any conflict situation in which regard for a private interest between the private interests of the faculty member tends to leads to a disregard of a public duty or and the public interests of the University, or the State of Florida, including and any conflicts of interest specified under Florida Statutes; or

professional or institutional responsibilities or obligations. Nothing herein shall abridge faculty

members' rights to academic freedom and freedom of expression guaranteed in Article 5.any

conflict between the private interests of the faculty member and the public interest of the

University including any conflicts of interest specified under Florida statute; or any activity

which interferes with the full performance of the faculty member's professional or institutional

- (2) any activity which interferes with the full performance of the faculty member's professional or institutional responsibilities or obligations.
- (f) "Conflict of Commitment" shall mean Outside Activities whose time of occurrence or duration would hinder the faculty member from carrying out Inside Activities.
 - (1) Outside Activities undertaken entirely during a time when the faculty member has no Inside Activities shall not constitute Conflicts of Commitment, although they may constitute Conflicts of Interest. Outside Activities that shall not constitute Conflicts of Commitment include Outside Activities undertaken entirely when the faculty member is not on appointment or contract with the university, such as Outside Activities undertaken by Faculty on 9-month contracts who have no summer appointment under the provisions of Article 8 or those undertaken when the faculty member is on appointment but conducted during times or frequencies that do not interfere with the faculty member's Inside Activities.
 - (2) Any potential conflict of commitment shall be addressed in the annual performance evaluation, according to 10.3.
- (egf) "Relatives" are individuals related to each other in the following ways, whether by blood, adoption, marriage (in-laws/step), or other legal action including but not limited to: spouses, parents, grandparents, children, grandchildren, siblings, aunts/uncles, nieces/nephews.
- (g) "Romantic relationship" is defined as intimate associations primarily characterized by the expectation of affectional involvement and/or demonstrates the desire to engage in sexualized relations whether emotional or physical.
- Conflicts of Interest Prohibited. Conflicts of interest, including those arising from University or outside activities, must be resolved or managed to mitigate the conflict of interest. are prohibited. Faculty members are responsible for resolving such conflicts of interest, working in conjunction with their supervisors and other University officials.
- Report and Approval of Outside Activity. 19.4

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- (a) <u>Using the University's reporting system</u>, at the beginning of each academic year, A faculty members shall acknowledge their review of Article 19.4 (a) through (e) and shall report only if they plan member who plans or proposes to engage in any outside Reportable Outside activity Activity as defined in Section 19.2 (d) which the faculty member should reasonably conclude may create a conflict of interest, or in any outside compensated professional activity, shall report to the faculty member's supervisor, in writing, the details of such proposed activity prior to engaging in the activity. therein. Examples or outside activity that must be disclosed are in Appendix X.
- (b) The report, as described in paragraph 19.4(a) <u>regarding Reportable Outside Activity</u> shall <u>includeconsist of</u>, where applicable, the name of the employer or other recipient of services; the funding source; the location where such activity shall be performed; the nature and_extent of the activity; <u>and_any</u> intended use of University facilities, equipment, or services; <u>and the approximate value received for the outside activity. a description of the item(s) of value intended to be received, if applicable; and the name of the entity or individual providing the item of value of the faculty member, if applicable.</u>
- (c) A new report shall be submitted for <u>outside Reportable Outside activity Activity</u> previously reported at:
- (1) the beginning of each academic year for outside Reportable Outside activity Activity of a continuing nature; and
- (2) such time as there is a significant change in an Reportable Outside activity Activity (nature, extent, funding, etc.)
- (d) A faculty member may assume tacit approval unless written disapproval is issued prior to the reported starting date. If practicable, the faculty member shall submit the report at least thirty (30) days prior to engaging in the Reportable Outside Activity. If reported at least thirty (30) days in advance, a faculty member may assume tacit approval unless written disapproval is issued prior to the reported starting date. If it is later determined that the activity represents a conflict of interest, the faculty member must cease the activity, except as provided in 19.5 (b).
- (e) Any outside Reportable Outside activity Activity that falls under the provisions of this Article and in which the faculty member is currently engaged but has not previously reported, shall be reported within sixty (60) days of the execution of this Agreement and shall conform to the provisions of this Article.
- (f) Faculty members shall review Article 19.4 (a) through (e) at the beginning of each academic year to determine whether a new report of outside activity is required. If required, the faculty member shall report the activity in accordance with section 19.4 (a). Not filing a report shall indicate that the faculty member has no conflict of interest or compensated outside activity.
- 19.5 Rights to the Expedited Grievance Procedure.
- (a) In the event the proposed outside Reportable Outside activity Activity is is determined to constitute denied due to a conflict of interest, and the faculty member disagrees with that determination, the faculty member may file a grievance under the expedited grievance procedure

Renisha Gibbs	Michael Mattimore	Irene Padavic	Scott Hannahs
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contained in Article 20. Alternatively, the faculty member may, within seven (7) days, seek a review in writing by the University Review Committee on Outside Activities and Conflict of Interest, or a subcommittee of at least three faculty members thereof, for an advisory opinion, unless the Committee has been consulted previously on this matter. The Committee shall render its opinion in writing within 7 days of receipt of the faculty member's request. If the Committee concludes that the <u>outside_Reportable_Outside_activity_Activity_could</u> have been approved, it shall consult with the approving authority to seek resolution of the matter. Should satisfactory resolution not be attained, the Committee may recommend to the President that the activity be approved. If no resolution is attained within fourteen (14) days from the date the faculty member receives the written opinion of the Committee, the faculty member may file a grievance under the expedited grievance procedure contained in Article 20.

- (b) The faculty member may engage in such <u>outside Reportable Outside activity Activity</u> pending a resolution of the matter pursuant to Section 19.5(a).
- (c) If the resolution of the matter is that there is a conflict of interest, the faculty member shall cease such activity immediately and may be required to turn over to the University all or part of compensation earned therefrom.
- 19.6 Use of University Resources. A faculty member engaging in any outside Outside activity Activity shall not use the facilities, equipment, or services of the University in connection with such outside activity without prior approval of the President or representative. Approval for the use of University facilities, equipment, or services may be conditioned upon reimbursement for the use thereof.
- 19.7 No University Affiliation. A faculty member engaging in outside Reportable Outside activity Activity shall take reasonable precautions to ensure that the outside employer or other recipient of services understands that the faculty member is engaging in such Reportable Ooutside Aactivity as a private citizen and not as an employee, agent, or spokesperson of the University.
- 19.8 Sexual or romantic relationships with students. Sexual and romantic relationships between faculty members and students where a direct supervisory or evaluative relationship exists are fraught with the potential for exploitationpose a threat to academic professionalism as they compromise the faculty members' judgment of students. More importantly, because of the power differential, sexual and romantic relationships between faculty and students are highly susceptible of being experienced as non-consensual or coercive. The respect and trust accorded a faculty member by a student, as well as the power exercised by the faculty member in a direct supervisory or evaluative role, make voluntary consent by the student suspect. In their relationships with students, faculty members are expected to be aware of their professional responsibilities and to avoid conflict of interest, favoritism, or bias.
- (a) When any direct supervisory or evaluative role exists, a consensual sexual relationship between a student and a faculty member is a conflict of interest. No faculty member may initiate or accept sexual or romantic advances or engage in a sexual or romantic relationship with any

Renisha Gibbs	Michael Mattimore	Irene Padavic	Scott Hannahs
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evaluative role over the student.

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> Renisha Gibbs Co-Chief Negotiator FSU - BOT

Date

Michael Mattimore Co-Chief Negotiator FSU - BOT

Date

Irene Padavic Co-Chief Negotiator UFF – FSU Chapter

Scott Hannahs Co-Chief Negotiator UFF - FSU Chapter

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(c) Any such relationship must be disclosed to the faculty member's supervisor immediately.

student enrolled at the University, regardless of whether the faculty member has a supervisory or

consensual sexual relationship between a student and a faculty member exists. In rare cases, and

only when no other viable option exists, an exception may be requested in writing for review and approval by the Provost or representative, who may add conditions to mitigate the possibility of

bias. Under no circumstances will a faculty member and a student engaged in a sexual or

romantic relationship if there is a supervisory or evaluative role exists.

(b) Any situation of direct supervision or evaluation will be ended immediately when a

- (d) Direct supervision includes any type of evaluative role. Examples of direct supervision of the student include teaching the student's class, serving as a thesis or dissertation director, instructor of record, member of the student's thesis or dissertation committee, member of the student's comprehensive or doctoral exam committee, and member of other committees where the focus is evaluation or supervision of the student's academic competence or the student's assistantship.
- 19.8. Consensual romantic or sexual sexual relationships with FSU students. The respect and trust accorded faculty members by students, as well as the power exercised by faculty members in their department/unit role, render consent suspect. Although romantic and consensual romantic, amorous, or consensual sexual relationships between persons of unequal institutional power do not necessarily constitute sexual misconduct, there is an inherent conflict of interest between making sexual overtures and exercising supervisory, evaluative educational, or other institutional authority. A subordinate or student in such a relationship may feel unable to freely decline or end the relationship, which can expose the individual with greater institutional authority to allegations of sexual misconduct. Furthermore, even when both parties have consented at the outset to romantic or sexual involvement, this past or apparent consent may not remove grounds for a later report of sexual misconduct.
- (a) Consensual romantic or sexual relationships with undergraduate students may beare a conflict of interest.
- Consensual sexual relationships between faculty members and undergraduate students are a conflict of interest. It is also a conflict of interest for a faculty member to pursue a sexual relationship with an undergraduate student.
- Consensual sexual relationships between faculty members and graduate students in the same department/unit is a conflict of interest. Sexual relationships between faculty members and graduate students in different departments/units is also a conflict of interest if the faculty member holds direct supervisory or evaluative authority over the student.
- (b) Consensual sexual relationships between faculty members and graduate students are a conflict of interest where a direct supervisory or evaluative role exists. Any situation of direct supervision or evaluation will be ended immediately and the relationship immediately disclosed to the faculty member's dean.

(c) It is also a conflict of interest if the graduate or undergraduate student is in a student club that is advised by the faculty member or is in a formal tutoring program that the faculty member participates in.

— (a) Consensual Relationship: Broadly defined, it is a romantic, amorous, or sexual relationship that includes any intimate, sexual, other type of romantic or amorous encounter or association, whether casual or serious, short or long term. A single sexual encounter is considered a sexual relationship. Conversely, the relationship does not have to include physical intimacy if a romantic association exists that is beyond the reasonable boundaries of a collegial or professional relationship.

(b) A conflict of interest occurs when romantic, amorous, or sexual connotations interfere with an individual's academic or professional performance, limit the individual's ability to participate in an academic program, or create an intimidating, hostile, or offensive social, academic, or work environment. Thus, consensual relationships that constitute a conflict of interest are prohibited and include the following:

- faculty members and an undergraduate student, regardless of academic discipline.
- <u>Faculty members and students in the same department/unit.</u>
- Faculty members and students if the faculty member holds direct supervisory or evaluative authority over the student.
- (4) Faculty members and students if the faculty member is an advisor in a student club or formal tutoring program that the student participates in.

(ab) Consensual sexual relationships between faculty members and students with a declared major in the same department/unit isare a conflict of interest. Sexual relationships between faculty members and students in different departments/units isare also a conflict of interest if the faculty member holds direct supervisory or evaluative authority over the student. It is also a conflict of interest or if the student is in a student club that is advised by the faculty member or is in a formal tutoring program that the faculty member participates in.

(bde) Direct supervision includes any type of evaluative role. Examples of direct supervision of the student include teaching the student's class, serving as a thesis or dissertation director, instructor of record, member of the student's thesis or dissertation committee, member of the student's comprehensive or doctoral exam committee, and member of other committees where the focus is evaluation or supervision of the student's academic competence or the student's assistantship.

(ede) Relationships that pre-date one or both parties' faculty or student affiliation with the University and that otherwise would be prohibited under this article shall be promptly disclosed by the faculty member to their dean or designee spousal relationshipsspouse, unless the faculty member holds a direct supervisory or evaluative role All consensual romantic or sexual relationships with students that create a conflict of interest must be disclosed to the faculty member's supervisor immediately.

Renisha Gibbs	Michael Mattimore	Irene Padavic Co-Chief Negotiator UFF – FSU Chapter	Scott Hannahs
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supervisory role for <u>W</u> when no other vi	able option exists, an ex	ves, as defined in this Ar	ticle. In rare cases, and only ed in writing for review and
supervisory role for <u>W</u> when no other vi	students who are relative able option exists, an ex	ves, as defined in this Ar aception may be requested	ticle. In rare cases, and only ed in writing for review and
supervisory role for <u>W</u> when no other vi	students who are relative able option exists, an ex	ves, as defined in this Ar aception may be requested	ticle. In rare cases, and only ed in writing for review and
			must be filed within forty
<u>allegations</u>		•	-
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3.0.7		o investigations of cons	sensual sexual relationships
		nember responsible must	t be supported by clear and
		visions must be filed with	thin six months of the most
provisions or Article		in writing for review and	d approval by the Provost or
	(g) Reports of recent sexual encourage (h) A finding convincing evidence (g) The following between faculty and (1) No anon (2) Before allegations (3) A finding (3) A finding (3) A finding (3)	representative, who may add conditions to m (g) Reports of violations of these proverecent sexual encounter. (h) A finding that holds the faculty meconvincing evidence. (g) The following provisions pertain to between faculty and students: (1) No anonymous reports will be interested in the students: (2) Before an investigation begins, allegations	provisions or Article 19.8 may be requested in writing for review and representative, who may add conditions to mitigate any conflict of in (g) Reports of violations of these provisions must be filed with recent sexual encounter. (h) A finding that holds the faculty member responsible must convincing evidence. (g) The following provisions pertain to investigations of considerations and students: (1) No anonymous reports will be investigated (2) Before an investigation begins, the faculty member must allegations (3) A finding that holds the faculty member responsible must allegations

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